MID-INFRARED SPECTROSCOPY OF CARBON STARS IN THE SMALL MAGELLANIC CLOUD

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ABSTRACT
We have observed a sample of 36 objects in the Small Magellanic Cloud (SMC) with the Infrared Spectrometer on the Spitzer Space Telescope. Nineteen of these sources are carbon stars. An examination of the near- and mid-infrared photometry shows that the carbon-rich and oxygen-rich dust sources follow two easily separated sequences. A comparison of the spectra of the 19 carbon stars in the SMC to spectra from the Infrared Space Observatory (ISO) of carbon stars in the Galaxy reveals significant differences. The absorption bands at 7.5 and 13.7 μm due to C₂H₂ are stronger in the SMC sample, and the SiC dust emission feature at 11.3 μm is weaker. Our measurements of the MgS dust emission feature at 26–30 μm are less conclusive, but this feature appears to be weaker in the SMC sample as well. All of these results are consistent with the lower metallicity in the SMC. The lower abundance of SiC grains in the SMC may result in less efficient carbon-rich dust production, which could explain the excess C₂H₂ gas seen in the spectra. The sources in the SMC with the strongest SiC dust emission tend to have redder infrared colors than the other sources in the sample, which implies more amorphous carbon, and they also tend to show stronger MgS dust emission. The weakest SiC emission features tend to be shifted to the blue; these spectra may arise from low-density shells with large SiC grains.

Subject headings: circumstellar matter — infrared: stars — Magellanic Clouds — stars: carbon

Online material: color figures

1. INTRODUCTION
The formation of CO in the cool atmospheres of stars on the asymptotic giant branch (AGB) forces the chemistry of the dust produced by mass-losing stars to be either oxygen-rich or carbon-rich, depending on whether the C/O ratio in these stars is less than or more than 1. In the Galaxy, AGB stars are the primary source of material injected into the interstellar medium (ISM; Gehrz 1989), and they are predominantly oxygen-rich (see the statistics of the characterization of spectra from the IRAS Low-Resolution Spectrometer by the IRAS Science Team [Olnon et al. 1986]). Consequently, carbon-rich dust species are a small fraction of the material injected into the Galactic ISM compared to silicates and related oxygen-rich dust species.

The Large Magellanic Cloud (LMC) has a higher fraction of carbon stars than the Galaxy (Blanco et al. 1978), and the Small Magellanic Cloud (SMC) has a higher fraction still (Blanco et al. 1980). Renzini & Voli (1981) showed that reducing the initial metallicity of a star increases the likelihood that it will become a carbon star on the AGB. More metal-poor stars have less oxygen in their atmospheres initially, so once they begin to dredge up carbon-rich material from the interior, fewer dredge-ups are needed to raise the C/O ratio past unity (Lattanzio & Wood 2004). As a result, the mass limit above which stars will evolve into carbon stars decreases as metallicity decreases. In the models with Z = 0.02 examined by Renzini & Voli (1981), the limit is ~1.8 M☉ (depending on other assumptions), but for Z = 0.004, the limit is ~1.3 M☉.

Thus, in low-metallicity galaxies, more of the dust injected into the ISM from AGB stars will be carbon-rich. The Spitzer Space Telescope (Werner et al. 2004) can observe fainter, more distant, and more metal-poor galaxies than previous infrared telescopes, which makes it essential to better understand the nature of the dust produced in these systems. The sensitivity of the Infrared Spectrograph (IRS; Houck et al. 2004) on Spitzer makes it possible to obtain spectra of a large sample of individual dust shells around evolved stars in the Magellanic Clouds. The metallicity of the younger population in the SMC is ~0.2 solar (Russell & Bessell 1989; Hill et al. 1997; Luck et al. 1998). The sources that populate the AGB are older and may even be more metal-poor. Thus, the SMC is an ideal laboratory for studying how metallicity affects the nature of carbon-rich dust produced on the AGB.

We have used the IRS to observe a sample of 36 infrared sources in the SMC, most of which are evolved stars. Nineteen of these sources are carbon-rich AGB sources. In this paper, we compare their spectroscopic properties to the Galactic sample of carbon stars, as observed by the Short-Wavelength Spectrometer (SWS) aboard ISO. The spectra from carbon stars in the wavelength range covered by both instruments include both emission features from dust and absorption features from molecular gas.

Gilman (1969) predicted that the dominant condensates in the outflows of carbon stars would be carbon (later specified as graphite or amorphous carbon) and silicon carbide. Hackwell (1972) first observed an emission feature at 11.3 μm in the carbon stars V Cyg and CIT 6. Treffers & Cohen (1974) confirmed the presence of this feature in spectra of CIT 6 and IRC +10216, and they identified its carrier as SiC dust. Amorphous carbon dominates the dust produced by heavily reddened carbon stars, as shown by models of the spectral energy distribution of the...
extreme carbon star IRC +10216 (e.g., Martin & Rogers 1987; Griffin 1990; Sloan & Egan 1995).

Goebel & Moseley (1985) identified MgS dust as the carrier of a broad emission feature first observed spectroscopically in the 26–30 \( \mu \text{m} \) range by Forrest et al. (1981) in the shells around heavily reddened carbon stars. SWS observations of Galactic carbon stars served as the basis for a thorough study by Hony et al. (2002). They found a wide variation in the shape of the feature, which they explained using grain temperature. Their ability to fit a variety of spectral feature shapes using MgS considerably strengthens its identification as the carrier of the 26–30 \( \mu \text{m} \) feature.

In addition to the dust features, the IRS wavelength range also includes two molecular bands seen in carbon-rich spectra. The narrow band at 13.7 \( \mu \text{m} \) is well characterized and arises from acetylene (C\(_2\)H\(_2\)), specifically from the Q branch of the \( \nu_5 \) band (Aoki et al. 1999). The P and R branches produce broad shoulders to this narrow feature that typically absorb in the 12.5–15.0 \( \mu \text{m} \) range. The identification of the band at 7.5 \( \mu \text{m} \) is more problematic, as HCN, C\(_2\)H\(_2\), and CS all absorb in this spectral region (Aoki et al. 1998; Jørgensen et al. 2000). Matsuura et al. (2006) argue that C\(_2\)H\(_2\) is the dominant absorber, based on the similarity of its expected band shape and position to the observed band in carbon stars in the LMC. Matsuura et al. (2006) also show that the HCN band at 14.1 \( \mu \text{m} \) seen in Galactic carbon stars is absent in the LMC sample. It does not appear in our SMC sample, either.

2. OBSERVATIONS

2.1. The Spectral Data

The Spitzer Space Telescope observed all of the sources in our sample at low resolution (\( R \sim 60–120 \)), using the standard IRS staring mode. The integration times for both the Short-Low (SL) and Long-Low (LL) modules are split evenly between the first- and second-order apertures. Table 1 presents the observational details along with photometry at \( J \) and \( K_s \) from the Two Micron All Sky Survey (2MASS) and the Midcourse Space Experiment (MSX) band A (8.3 \( \mu \text{m} \)).

The analysis began with the two-dimensional flat-fielded images produced by version S11.0 of the data reduction pipeline at the Spitzer Science Center (SSC).\(^7\) We subtracted sky images to remove any background emission. SL images were differenced by aperture by aperture (i.e., SL1–SL2 and vice versa), and LL images were differenced nod by nod. Before extracting spectra from the images, we used the \texttt{imclean} software package distributed by Cornell University\(^8\) to replace bad pixels with values determined from neighboring pixels.

To extract spectra from the cleaned and differenced images, we used the SSC pipeline modules \texttt{profile}, \texttt{ridge}, and \texttt{extract}, which are available through SPICE (the Spitzer IRS Custom Extractor, distributed by the SSC). To photometrically calibrate the spectra, we used spectral corrections generated from observations of standard stars. For SL, we used HR 6348 (K0 III), and for LL, we used HR 6348, HD 166780 (K4 III), and HD 173511 (K5 III). The assumed truth spectra of these spectra are modified from spectral templates provided by M. Cohen. A paper explaining this process is in preparation (G. C. Sloan et al. 2006, in preparation). We have used the modified wavelength calibration of the S11.0 version of the SSC pipeline described by Sloan et al. (2005).

After calibrating the spectra, we co-added them, stitched the individual spectral segments together to correct discontinuities by making scalar multiplicative corrections, and trimmed poor data from the ends of the segments. The discontinuities arise primarily from variations in throughput caused by pointing variations, \( \pm 0.6 \) arcsec.

\(^7\) One source, MSX SMC 093, was observed later and processed with the S12.0 version of the pipeline.

\(^8\) An updated version of this package, now known as \texttt{irisclean}, is available from the SSC Web site.
since a source will be better centered in some apertures than in others. Typical multiplicative corrections varied between 5% and 10%. Segments are normalized upward to align with the best-centered segment. We have used the bonus-order data in SL and LL by combining it with orders 1 and 2 where they overlap and are valid. The error bars are the statistical differences between the two nod positions.

2.2. The Full Sample

Our full Spitzer IRS sample consists of 36 infrared sources in the SMC. They were selected on the basis of near-infrared sources observed in the 2MASS survey (Skrutskie et al. 2006) and mid-infrared measurements from the MSX (Egan et al. 2003). The use of the 2MASS survey introduces a selection effect against heavily enshrouded sources, since reddening from the dust shell could push the near-infrared colors below the 2MASS detection limit of \( K \sim 16 \). The MSX sensitivity limits the sample to magnitudes at 8 \( \mu m \) brighter than \( \sim 8.5 \), which selects against sources with little dust.

The first objective of the program was to validate the spectroscopic properties predicted from the near-infrared and MSX colors for a sample of sources in the LMC (Egan et al. 2001). Of the 36 targets in the SMC, 22 show infrared spectral features from carbon-rich dust or gas species. This fraction is higher than expected, primarily because the reddest \( J - K \) sources selected had been tentatively identified as OH/IR stars. They turned out to be embedded carbon stars instead. As a result, our sample of carbon stars covers a wide range of infrared colors.

Figure 1 illustrates how a combination of 2MASS and Spitzer photometry can distinguish the dust composition in evolved stars. The \( [8] - [24] \) color is based on synthetic photometry of the IRS spectra using the bandpasses for the IRAC 8 \( \mu m \) and MIPS 24 \( \mu m \) filters. The carbon-rich dust sources occupy a clear sequence, with the \( [8] - [24] \) color steadily increasing from \( \sim 0 \) to \( \sim 2 \) as the \( J - K \) color increases from \( \sim 2 \) to \( \sim 5 \). The oxygen-rich sources occupy a different sequence, with \( J - K \) colors \( \sim 1.0 - 1.5 \) for \( [8] - [24] \leq 2 \) and showing a wide range in \( J - K \) for redder \( [8] - [24] \) colors. At no point in Figure 1 do the two sequences overlap. Van Loon et al. (1997) showed that plotting \( K - [12] \) versus \( J - K \) produces two partially overlapping sequences for the carbon-rich and oxygen-rich dust sources. Shifting the longer wavelength color further to the infrared, as Figure 1 does here, eliminates the overlap between the sequences.

Two of the 22 carbon-rich sources exhibit featureless spectra resembling \( \sim 600 - 700 \) K blackbodies. As described by Kraemer et al. (2005), they are R CrB candidates and are thus not associated with the AGB. These sources appear as triangles in Figure 1, and they lie slightly above the carbon-rich sequence. One of the carbon-rich sources shows emission from polycyclic aromatic hydrocarbons (PAHs) superimposed on an otherwise smooth continuum peaking in the mid-infrared. K. E. Kraemer et al. (2006, in preparation) examine this source in a separate paper and conclude it has most likely evolved off of the AGB. It is plotted as one of the “Other” sources in Figure 1. The remaining 19 sources constitute our sample of carbon stars in the SMC.

2.3. The Carbon Stars

Figure 2 presents the IRS low-resolution spectra of the 19 carbon stars in our sample from the SMC. All of these sources exhibit spectral characteristics typical of carbon stars on the AGB, including molecular absorption bands from \( \text{C}_2 \) at 7.5 and 13.7 \( \mu m \) and the SiC dust emission feature at 11.3 \( \mu m \). A few of the spectra also show a MgS dust emission feature peaking in the 26–30 \( \mu m \) range. Eighteen of the sources were observed in 2004 November; one object, MSX SMC 093, was observed in 2005 June. Figure 2 organizes the spectra by their \([6.4] - [9.3]\) color, as defined in § 3.1.

2.4. Ground-based Observations

All of the carbon stars in our sample were observed from the ground quasi-simultaneously with the Spitzer observations. Near-infrared photometric observations were taken with the 2.3 m Telescope at Siding Spring Observatory (SSO) in Australia, using the near-infrared imaging system CASPIR (McGregor et al. 1994) and the filters \( J(\text{effective wavelength } 1.24 \mu m), H(1.68 \mu m), K(2.22 \mu m), \) and narrowband \( L (3.59 \mu m) \). Standard stars from the lists by McGregor (1994) were used to calibrate the observations. Standard image reduction procedures of bias subtraction, linearization, bad pixel replacement, flat fielding, and sky subtraction were done using IRAF. Aperture photometry was performed with the IRAF task QPHOT. All objects were observed twice and the photometry averaged.

Table 2 presents the ground-based photometry for our sample. The errors given are the worse of the noise estimate from QPHOT or the standard error computed from the two observations. The 18 sources observed in 2004 November by Spitzer were observed four weeks later at SSO. MSX SMC 093 was observed by Spitzer on 2005 June 4. The photometric data in Table 2 for this source are linearly interpolated from SSO data obtained on May 25 and July 22.

Of the 19 carbon stars in our sample, 14 have light curves in the databases produced by the Massive Astrophysical Compact Halo Objects (MACHO) Project (Alcock et al. 1996) and/or the Optical Gravitational Lensing Experiment (OGLE; Udalski et al. 1997; Szymański 2005). Figure 3 presents these light curves. These stars all show evidence of pulsation, with a typical period of \( \sim 300 - 700 \) days, making them large-amplitude, long-period variables.

These variables are typical of large-amplitude, dust-enshrouded stars found in the Magellanic Clouds and the Galaxy (Whitelock et al. 2003; Lattanzio & Wood 2004). As well as the main pulsation period of \( \sim 300 - 700 \) days, they often show long periods...
of dimming and brightening of many thousands of days (MSX SMC 060, 062, 142, 162, 232) and double-humped light curves, i.e., alternate faint and bright minima (MSX SMC 033, 066, 209). Olivier & Wood (2005) find this latter effect in their models of large-amplitude pulsating giants.

Our carbon stars are very red and fainter in $K$ than stars of similar bolometric luminosity, but with shorter period and lower mass-loss rate; as a result, on the $K$-log $P$ diagram, they typically lie on a very steep sequence of declining $K$ magnitude at the long-period end of the sequence for fundamental mode pulsators (Miras) (Wood 1998, 2003; Lattanzio & Wood 2004). Once the heavy mass-loss phase begins, the period increases greatly due to the reduced mass, while $M_{bol}$ changes very little (Vassiliadis & Wood 1993). Thus, the brightening in $M_{bol}$ with log $P$ seen for stars with low mass-loss rates no longer holds, and the sources in Table 2 no longer fall along a well-defined sequence, even in a plot of $M_{bol}$ versus log $P$.

It should be remembered that there is a selection effect operating here that almost certainly acts to limit the maximum period seen in the optical databases. As the stars lose mass, their pulsation periods will increase and grow more violent, and their mass-loss rate will increase (e.g., Vassiliadis & Wood 1993). As a result, their optical magnitudes will become fainter due to their thicker dust shells, excluding them from the MACHO and OGLE databases. Infrared light curves are necessary to determine the periods for the reddest stars.

Table 2 also presents the periods of pulsation and the bolometric luminosity. We estimated the bolometric luminosity by
### Photometric Results

<table>
<thead>
<tr>
<th>MSX SMC</th>
<th>$J$ (mag)</th>
<th>$H$ (mag)</th>
<th>$K$ (mag)</th>
<th>$L$ (mag)</th>
<th>Period (days)</th>
<th>$L_{bol}$ ($L_\odot$)</th>
</tr>
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<td>033.....</td>
<td>14.362 ± 0.022</td>
<td>12.404 ± 0.014</td>
<td>10.846 ± 0.008</td>
<td>8.775 ± 0.042</td>
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<td>20000</td>
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<td>...</td>
<td>14.400 ± 0.024</td>
<td>12.711 ± 0.028</td>
<td>10.373 ± 0.095</td>
<td>640</td>
<td>5480</td>
</tr>
<tr>
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<td>15.579 ± 0.156</td>
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<td>11.806 ± 0.009</td>
<td>9.533 ± 0.087</td>
<td>460</td>
<td>11780</td>
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<tr>
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<td>16.516 ± 0.325</td>
<td>14.326 ± 0.044</td>
<td>12.247 ± 0.012</td>
<td>9.841 ± 0.223</td>
<td>...</td>
<td>8000</td>
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<tr>
<td>060.....</td>
<td>15.011 ± 0.035</td>
<td>12.864 ± 0.016</td>
<td>11.048 ± 0.007</td>
<td>9.119 ± 0.052</td>
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<td>17520</td>
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<tr>
<td>062.....</td>
<td>15.343 ± 0.033</td>
<td>13.235 ± 0.019</td>
<td>11.581 ± 0.009</td>
<td>9.501 ± 0.060</td>
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<td>9120</td>
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<tr>
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<td>10.263 ± 0.007</td>
<td>8.574 ± 0.058</td>
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<td>6300</td>
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<tr>
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<td>13.334 ± 0.031</td>
<td>11.654 ± 0.011</td>
<td>9.917 ± 0.145</td>
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<tr>
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<td>10.403 ± 0.032</td>
<td>9.133 ± 0.080</td>
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<td>105.....</td>
<td>16.082 ± 0.129</td>
<td>13.687 ± 0.024</td>
<td>11.783 ± 0.009</td>
<td>9.540 ± 0.046</td>
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<td>14.129 ± 0.021</td>
<td>12.615 ± 0.036</td>
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<tr>
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<td>10.575 ± 0.007</td>
<td>8.986 ± 0.113</td>
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<tr>
<td>163.....</td>
<td>15.528 ± 0.044</td>
<td>13.280 ± 0.040</td>
<td>11.526 ± 0.023</td>
<td>9.266 ± 0.090</td>
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<td>13000</td>
</tr>
<tr>
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<td>11.442 ± 0.015</td>
<td>9.355 ± 0.099</td>
<td>500</td>
<td>7810</td>
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<tr>
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<td>9.736 ± 0.074</td>
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<tr>
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<td>10.423 ± 0.011</td>
<td>8.998 ± 0.044</td>
<td>...</td>
<td>11460</td>
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<tr>
<td>209.....</td>
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<td>12.147 ± 0.014</td>
<td>10.795 ± 0.007</td>
<td>8.908 ± 0.042</td>
<td>520</td>
<td>16330</td>
</tr>
<tr>
<td>232.....</td>
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<td>12.029 ± 0.024</td>
<td>9.726 ± 0.286</td>
<td>460</td>
<td>7280</td>
</tr>
</tbody>
</table>

![Fig. 3.—Light curves for the Spitzer sources that could be found in the MACHO and/or OGLE databases. “JD2449000” is Julian Date 2,449,000 (days). The MACHO data extend over the time interval 100 < JD 2,449,000 < 2600, while the OGLE data cover the time interval 1600 < JD 2,449,000 < 2900. When both MACHO and OGLE data exist for a star, the zero point of the MACHO $R$ magnitudes $M_R$ has been adjusted so that the OGLE $I$ magnitudes and MACHO $R$ magnitudes match on average.](image-url)

summing the IRS spectrum from 5.1 to 36 μm and also summing linear interpolations through the photometry from Siding Spring Observatory. The photometric points generally lie at wavelengths affected by molecular absorption bands and thus lie well below the continuum, requiring a correction that typically raises the luminosity by ~40%. We estimated the strength of this correction from the SWS sample of carbon stars (defined in § 3.2) by summing the flux from 2.4 to 5.1 μm, which the IRS does not cover, and comparing it to the sum from 5.1 to 35 μm. The resulting correction is a smooth function of $[6.4] - [9.3]$ color (defined in § 3.1), and we estimated its strength for our SMC targets based on their color. We also extrapolate a Wien distribution to shorter wavelengths and a Rayleigh-Jeans tail to the red, but this modification has only a small effect on the luminosity. We have begun to fit our spectra using radiative transfer models, and while that work is still in its early stages, we can confirm that it produces luminosities typically within 10% of luminosities estimated here (the worst discrepancies are 25%). For all sources, the assumed distance modulus to the SMC is 18.9.

3. ANALYSIS

3.1. The Manchester Method

Our analysis is based on the “Manchester method,” which is designed to place the analyses of the samples of carbon stars presented here and by Zijlstra et al. (2006) on a common basis. The method defines two color indices, $[6.4] - [9.3]$ and $[16.5] - [21.5]$, to characterize the color temperature of the star-plus-dust system on the blue and red sides of the 11.3 μm SiC feature. Amorphous carbon dominates the dust emission, and its optical efficiency falls roughly as $\lambda^{-1.9}$, making it difficult to distinguish its emission from the stellar photosphere. That task requires radiative transfer modeling, which we leave for the future. In the following description, “continuum” refers to the combined spectrum of the star and the amorphous carbon. This method uses a simple scheme of fitting line segments under the narrow spectral features to approximate the continuum.

The $[6.4] - [9.3]$ color is calculated by separately summing the total spectral emission from 6.25 to 6.55 and 9.10 to 9.50 μm (see Fig. 4). It serves as an indicator of the temperature of the...
carbon-rich dust and its contribution relative to the stellar contribution. In the analysis that follows, we use it to discriminate between optically thin, warm shells and optically thick, cool shells. The 6.4 $\mu$m band measures the continuum between the CO band at $\sim$5.0 $\mu$m and the C$_2$H$_2$ band at 7.5 $\mu$m, while the 9.3 $\mu$m band falls between the latter band and an absorption band at $\sim$10.0 $\mu$m that most likely arises from C$_2$ (Jørgensen et al. 2000).

The [16.5] $-$ [21.5] color, measured over the wavelength ranges 16.0–17.0 and 21.0–22.0 $\mu$m, is the basis for estimating the cool dust temperature underlying the MgS feature, which extends from $\sim$24 $\mu$m past the red end of the LL spectral range (see Fig. 5). While the IRS data provide a substantial improvement in sensitivity over the spectra from the SWS on ISO, the wavelength range is more limited. Data from LL are only usable out to $\sim$36 $\mu$m, while the SWS provided good coverage out to 45 $\mu$m. We have less information about the red side of the MgS feature, but we have improved the blue side, where data in band 3E on the SWS (27–28 $\mu$m) proved to be of dubious quality.

Since we cannot observe the continuum to the red of the MgS feature, we extrapolate the continuum from the blue side assuming a Planck function with the temperature corresponding to the [16.5] $-$ [21.5] color. The bandpasses for the 16.5 and 21.5 $\mu$m measurements were chosen to sample the continuum between the 13.7 $\mu$m C$_2$H$_2$ band and the blue end of the MgS feature at 24 $\mu$m, and they avoid any potential problems at the joint between LL orders 1 and 2 at $\sim$19–21 $\mu$m.

We measure the strength of the MgS feature by summing the flux from 24 to 36 $\mu$m above the extrapolated continuum. Any extrapolation method has its dangers, and our measurement of the MgS feature is more prone to error than our measurements of the C$_2$H$_2$ bands or the SiC feature. In some of the spectra, the observed spectrum in the 24–36 $\mu$m range actually passes under the extrapolated Planck function, emphasizing the limits of our method. In none of these cases does there appear to be a MgS dust feature in the spectrum. In other spectra (most notably MSX SMC 066), the spectrum continues to climb to the red edge of the LL wavelength range, suggesting that the long-wavelength excess is more likely a result of background contamination than MgS emission. Only six of the 19 sources in our SMC sample show a clear MgS feature with an upturn at 24 $\mu$m and a downturn at 36 $\mu$m. In the other cases, we have set the strength of the MgS feature to zero.

To measure the strengths of the C$_2$H$_2$ absorption bands at 7.5 and 13.7 $\mu$m and the SiC emission feature at 11.3 $\mu$m, we fit line segments to the continuum on either side and use this as an estimate of the continuum above or below the feature. For the molecular bands, we report equivalent widths (EWs), while for the dust features, we report the ratio of their integrated flux to the integrated continuum flux. Table 3 provides the wavelengths used to estimate the continuum. The features were integrated between the continuum wavelength.

Figures 4 and 5 illustrate how we determine the colors and feature strengths using MSX SMC 159 as an example. For the SiC feature, we also determine the central wavelength, which is defined as that wavelength with equal amounts of flux from the feature to either side after continuum subtraction.

Figure 6 compares the [6.4] $-$ [9.3] color measured from the spectra with the $J$ $-$ $K$ color using both the 2MASS and SSO photometry. The apparent overall shift in $J$ $-$ $K$ between the 2MASS and SSO systems is real; it arises from shifts in the band centers. In the 2MASS system, the centers of the $J$ and $K_s$ bands are 1.25 and 2.15 $\mu$m, respectively. In the SSO system, the $J$ and $K$ bands are shifted to 1.24 and 2.22 $\mu$m. The carbon stars in this sample are very red and far from Rayleigh-Jeans distributions, which accentuates the effect of moving the band centers.

The [6.4] $-$ [9.3] color shows a correlation with $J$ $-$ $K$ in both systems. The $J$ $-$ $K$ color is more sensitive to photospheric emission, while the [6.4] $-$ [9.3] colors are more sensitive to emission from the dust, so the scatter in the data is not surprising, even though the SSO photometry was obtained at the same phase as the spectra used to determine the [6.4] $-$ [9.3] color. The two

<table>
<thead>
<tr>
<th>Feature</th>
<th>$\lambda$ (\textmu m)</th>
<th>Blue Continuum (\textmu m)</th>
<th>Red Continuum (\textmu m)</th>
</tr>
</thead>
<tbody>
<tr>
<td>C$_2$H$_2$ absorption</td>
<td>7.5</td>
<td>6.08–6.77</td>
<td>8.25–8.55</td>
</tr>
<tr>
<td>SiC dust emission</td>
<td>11.3</td>
<td>9.50–10.10</td>
<td>12.50–12.90</td>
</tr>
<tr>
<td>C$_2$H$_2$ absorption</td>
<td>13.7</td>
<td>12.80–13.40</td>
<td>14.10–14.70</td>
</tr>
</tbody>
</table>
most significant outliers are MSX SMC 066 and 200. Both lie at \( J - K_s \sim 3.6 \) and \([6.4] - [9.3] \sim 0.4\). In the comparison with the SSO \( J - K \) color, only MSX SMC 200 remains an outlier.

Table 4 presents our measurements of the sample of 19 carbon stars in the SMC. We do not report a MgS strength unless we believe it be valid, and we do not report a central wavelength for SiC features too weak to be measured. Figures 7 and 8 plot the strengths of the molecular absorption bands and dust emission features, respectively, as a function of the \([6.4] - [9.3]\) color.

### 3.2. Control Sample

We have also analyzed the sample of carbon stars in the Galaxy observed by the SWS using the same method as described above. This sample includes a total of 63 spectra of 39 sources, consisting of 29 sources classified by Kraemer et al. (2002) as 2.CE (warm carbon-rich dust emission), four classified as 3.CE (cool carbon-rich dust emission), and six classified as 3.CR (cool and reddened carbon-rich dust emission). Two sources (S Cep and V460 Cyg) were observed twice, and four sources were observed several times each (V CrB, 6 times; V Cyg, 6; T Dra, 8; R Scl, 6). Five of the 2.CE spectra were dropped from the sample because they are too noisy for meaningful analysis.

In this paper, we concentrate on the properties of the SWS sample as a control group for our SMC sample. We present a more detailed analysis of the Galactic sample elsewhere (G. C. Sloan et al. 2006, in preparation). Yang et al. (2004) have examined most of the spectra in this sample, and they have developed a classification system similar to ours, running from A to D for increasingly red sources. Hereafter, we refer to the 24 2.CE sources as the blue Galactic sample and the 10 3.CE and 3.CR sources as the red Galactic sample.

The boundary between the 2.CE and 3.CE sources in the Galactic sample lies at \([6.4] - [9.3] = 0.60\), and similarly, a color of 0.80 separates the 3.CE and 3.CR sources. While the earlier classification of the SWS data (Kraemer et al. 2002) did not make use of the \([6.4] - [9.3]\) color, we apply it here to distinguish these classes in the SMC sample. Table 4 includes these classifications.

For the sources observed multiple times, we generated and analyzed a co-added spectrum. We also examined the individual spectra.
to investigate how much the spectral properties of a given source can vary over a pulsation cycle. For each source, we determined the standard deviation of the various measured properties and from these determined a median variation, which appears in the relevant figures (Figs. 7–10) as a black cross labeled “typical variation.”

4. RESULTS

4.1. Molecular Band Strengths

Figure 7 plots the equivalent widths of the \( \text{C}_2\text{H}_2 \) bands at 7.5 and 13.7 \( \mu \text{m} \) as a function of \([6.4] - [9.3]\) color for both the SMC sample (plus signs) and the SWS Galactic sample (circles). The redder sources in the SMC sample show stronger absorption bands than their counterparts in the Galaxy. The black cross labeled “typical variation” reflects the expected impact on the data from the variability of the star, based on an analysis of those sources observed multiple times by the SWS. [See the electronic edition of the Journal for a color version of this figure.]

**Fig. 7.—**Equivalent widths of the \( \text{C}_2\text{H}_2 \) bands at 7.5 and 13.7 \( \mu \text{m} \) as a function of \([6.4] - [9.3]\) color for both the SMC sample (plus signs) and the SWS Galactic sample (circles). The redder sources in the SMC sample show stronger absorption bands than their counterparts in the Galaxy. The black cross labeled “typical variation” reflects the expected impact on the data from the variability of the star, based on an analysis of those sources observed multiple times by the SWS. [See the electronic edition of the Journal for a color version of this figure.]

4.2. The SiC Dust Emission Feature

The SiC dust emission feature at 11.3 \( \mu \text{m} \) lies between two molecular absorption bands, complicating our ability to accurately extract its strength. To the blue, we have the 10.0 \( \mu \text{m} \) absorption band attributed to \( \text{C}_3 \) (Jørgensen et al. 2000). This feature does not appear to be strong enough to have a significant impact on our spectra. The red side of the SiC feature is more of a problem, since the dust emission overlaps the P branch of the \( \nu_5 \) band, which in some cases could extend past \( \sim 12.5 \mu \text{m} \) (Aoki et al. 1999). If we fit the continuum too far to the red, then we are really fitting molecular absorption. Fitting too far to the blue cuts off dust emission. We have chosen the 12.5–12.9 \( \mu \text{m} \) range as a compromise. In our analysis, we have experimented with other wavelength ranges, and we have found that the conclusions we present below are robust in a qualitative sense. Some of the quantitative results, of course, do depend on where we fit the continuum.

**Fig. 8.—**Strength of the dust emission features from SiC at 11.3 \( \mu \text{m} \) and MgS at \( \sim 30 \mu \text{m} \) as a function of \([6.4] - [9.3]\) color. Symbols are as in Fig. 7. The SMC sample tends to show weaker dust emission than the Galactic sample. [See the electronic edition of the Journal for a color version of this figure.]
The top panel of Figure 8 compares the strength of the SiC dust emission features in the Galactic sample and in the SMC. Five sources in the SMC, all with [6.4] − [9.3] > 0.6, show SiC features stronger than 10% of the integrated continuum, similar in strength to their Galactic counterparts with the same colors. The remaining sources in the SMC sample, especially the blue sources, show comparatively weaker SiC features.

The apparent trend of decreasing SiC emission strength as a function of [6.4] − [9.3] color in the red Galactic sample must be considered with caution. While amorphous carbon dominates the dust in these shells, enough SiC dust can be present to force the 11.3 μm feature into absorption, as first suggested by Jones et al. (1978) and supported by the comparison of laboratory data with both ground-based observations (Speck et al. 1997) and ISO SWS data (Clément et al. 2003). Thus, the apparent weakening of the SiC feature in the Galactic sample as the spectra get redder could in fact result from self-absorption in the feature.

The SiC feature is notorious for appearing at a wide range of wavelengths. While the emission feature typically appears at 11.3 μm, it can be seen out to 11.7 μm. In extreme carbon stars, the feature can appear in absorption, usually at ~10.8 μm. Speck et al. (2005) have presented recent laboratory measurements to explain these wavelength shifts. SiC grains emit with two components, a longitudinal optic (LO) at 10.8 μm and a transverse optic (TO) to the red. As the SiC grains increase in size, the LO increases with respect to the TO, shifting the emission feature to the blue. Speck et al. (2005) explain the absorption at 10.8 μm as the result of different grain size distributions, with the cool absorbing component containing larger grains with an enhanced LO and the warmer emitting component containing smaller grains with an enhanced TO, shifting the feature from 11.3 μm to the red. If this scenario is valid, we can use wavelength to track the optical depth of the SiC feature.

Figure 9 illustrates how the apparent central wavelength of the SiC feature (λ_{SGC}) varies as a function of [6.4] − [9.3] color for the Galactic SWS sample. For colors from 0.0 to 0.5, the apparent central wavelength shows a great deal of scatter between values of 11.1 and 11.5 μm, but a general trend of decreasing wavelength with reddening color is noticeable. The Pearson correlation coefficient (e.g., Press et al. 1988) of a line segment fit to the blue of [6.4] − [9.3] = 0.45 is 0.63, indicating a reasonable correlation despite the scatter.

Beyond [6.4] − [9.3] = 0.5, the apparent central wavelength increases monotonically to nearly 11.6 μm for the reddest source in our SWS sample. The correlation coefficient in this range is an impressive 0.95.

In Figure 8 (top), the measured strength of the SiC emission generally increases with [6.4] − [9.3] for the blue Galactic sources (although there are a few notable outliers). The apparent turnover at [6.4] − [9.3] ~ 0.5 and the subsequent drop in apparent strength in the Galactic sample can now be interpreted not as an intrinsic decline in SiC dust abundance but as the growing effect of self-absorption on the measured strength.

Figure 10 compares the apparent central wavelength of the SiC feature (λ_{SGC}) in the Galactic and SMC samples. Two sources, MSX SMC 060 and 200, show central wavelengths >11.4 μm, but in MSX SMC 200, the uncertainty is nearly 0.2 μm. MSX SMC 060 has a central wavelength of 11.42 μm and a [6.4] − [9.3] color of 0.97. The strength of the SiC feature in its spectrum is lower than some of the bluer sources, making it probable that this source shows some mild effects from self-absorption. The remaining sources in the sample show no evidence for self-absorption, leading us to conclude that as a group, the SMC spectra do indeed show less emission from SiC dust than their Galactic counterparts.

Even where the two samples show similar apparent strengths of the SiC feature, the Galactic sources have features shifted more to the red on average, indicating that they are more self-absorbed. Correcting for the effect of self-absorption on our measurements would make the two samples even more distinct.

Two of the sources, MSX SMC 093 and 232, are outliers in Figure 10, showing blueshifted emission features peaking at 11.06 and 10.98 μm, respectively. Figure 11 shows these spectra, along with Gaussians fit to the features. The Gaussians are centered at 11.05 μm for MSX SMC 093 and 11.00 μm for MSX SMC 232. Because these features are weak compared to the continuum, their apparent position is somewhat sensitive to how we
choose to fit the continuum. As an experiment, we changed the wavelength range for continuum fitting on the red side of the SiC feature to 12.0–12.9 \( \mu m \). While the positions in the spectra of MSX SMC 093 and 232 remained within 0.02 \( \mu m \), the SiC feature in MSX SMC 209 moved to 11.09 \( \pm 0.05 \mu m \) (from 11.16 \( \mu m \)), which would make it a third outlier in the sample.

Both MSX SMC 093 and 232 are among the four sources with the weakest measured SiC features. (MSX SMC 209 is as well.) Following the lead of Speck et al. (2005), we hypothesize that the emission from these grains is dominated by the longitudinal optic (LO), which indicates that the grains are larger than usually seen. Thus, these spectra show the enigmatic combination of both fewer and larger SiC grains.

A similar process might explain the overall trend apparent in the blue Galactic sources of decreasing central wavelength until the \([6.4] – [9.3] \) color reaches ~0.5. In this case, the trend of increasing grain size with increasingly red colors and thus growing optical depth makes more sense.

4.3. The MgS Dust Emission Feature

Only one-third of our sample shows an unambiguous MgS feature. Some spectra (such as MSX SMC 066, 142, and 209), appear to be contaminated by background emission that would hide any MgS feature, but the majority of the remaining 13 spectra simply show no evidence of MgS emission above the continuum extrapolated from the 16–22 \( \mu m \) region.

None of the SMC spectra with \([6.4] – [9.3] < 0.75 \) show a MgS feature, while at least some Galactic spectra show a feature throughout the range of colors. For the six spectra that do show MgS features, five have weaker features than their Galactic counterparts with similar colors. While the SMC spectra are generally noisy in this spectral range and sometimes contaminated by background emission, and our extrapolation method does have its limits, it still seems that the MgS feature is weaker in the SMC sample than in the Galactic sample.

5. DISCUSSION

5.1. Dust Emission and Abundances

Both the SiC and MgS dust emission features tend to be weaker in the carbon stars in the SMC than in the Galaxy. The most straightforward explanation for these differences is that they result from the lower metallicity in the SMC. Mg, Si, and S are all products of \( \alpha \)-capture, and studies of halo stars show that the abundances of these elements behave similarly, decreasing as iron abundance decreases (see the review by Wheeler et al. 1989).

The sample of carbon stars in the SMC divides easily into three groups. Eight sources, which we call the blue sources, have \( 0.3 < [6.4] – [9.3] < 0.6 \), and all show weak SiC emission. The red sources (0.6 < \([6.4] – [9.3] < 1.0 \)) separate into two groups: six sources with weak SiC features and five with strong SiC features. Table 5 compares the mean strength of the SiC features and mean color in these groups to groups from the Galactic sample with the same color ranges. The Galactic sample does not show a dichotomy in SiC strength among the red sources like the SMC sample.

The strength of the SiC feature in the SMC sample, compared to the Galactic sample, is down by a factor of 12 for the blue sources, 4 for the red sources with weak SiC features, and 1.2 for the red sources with a strong SiC feature. Our measurements of the SiC feature in the red sources in the Galactic sample may be underestimated due to self-absorption, so the difference between the two samples may actually be larger. Abundances of Mg and Si in the SMC are about 15% of their solar values, based on measurements of the H ii region N88a (Kurt et al. 1999) and B supergiants (Lennon et al. 2003; Trundle et al. 2004; Dufort et al. 2005). Our measured dust strengths are roughly consistent with these abundance differences. The lower SiC strengths in the bluer sources could be explained if they represented an older and more metal-poor sample.

5.2. The Strong SiC Sources

The five sources in the SMC sample that show SiC emission nearly as strong as their Galactic counterparts are MSX SMC 054, 091, 105, 159, and 163. Four of these sources are also among the six showing a measurable MgS feature; MSX SMC 091 is the exception. Conversely, MSX SMC 036 and 060 are the two MgS sources that do not show strong SiC bands. As Figure 8 shows, the five strong SiC sources are all in the redder half of the sample in \([6.4] – [9.3] \) color. The MgS sources are grouped even more clearly; the six reddest sources all show MgS features.

Figure 12 (top) shows that the strong SiC sources also tend to have the reddest \( J – K \) colors. Four of these sources are among the five reddest. MSX SMC 091, again, is the exception, although
it is still redder than half the sample. The one red source with $J - K > 4$ but without strong SiC emission is MSX SMC 200. Figure 12 uses the photometry from SSO. The 2MASS photometry from an earlier epoch gives similar results, except that MSX SMC 060 is the one weak SiC source among the reddest five in $J - K$. We have noted above that MSX SMC 060 and 200 have the most redshifted SiC features in our sample; we could possibly be underestimating the strength of these features due to self-absorption. The middle panel of Figure 12 shows that the strong SiC sources are also among the redder sources in $K - L$, although the trend is not as obvious as it is in $J - K$.

Given that the weakness of the SiC and MgS features in the general sample is consistent with the expected lower abundances of $\alpha$-capture products in the SMC, it is conceivable that the sources with stronger SiC features differ from the rest of the SMC sample by having enhanced metallicities. If the metallicities resulted from comparative youth, then they might be located near younger clusters in the SMC, but there is nothing significant about their locations in the SMC. Younger stars would have a higher mass, and on average, they might show a higher luminosity.

However, as the bottom panel of Figure 12 shows, only one of the eight sources with a bolometric luminosity $>10^4 L_\odot$ has a strong SiC feature. The strong SiC sources cover a wide range of luminosities, although four of the five tend to cluster in the lower half of the luminosity range.

The only clear distinctions between the strong SiC sources and the remaining carbon stars are that they (1) tend to also show MgS dust and (2) tend to be redder than the remaining sample at $J - K$ and to a lesser degree, $K - L$ and [6.4] – [9.3]. These redder colors imply a greater amount of amorphous carbon in their dust shells.

5.3. Other Unusual Sources

The star MSX SMC 060 shows a rather unusual light curve (Fig. 3), and it has the reddest [6.4] – [9.3] color in our sample of carbon stars. It shows evidence for a long period of about 450–600 days, and when it is bright it shows a typical semiregular (low overtone) pulsation with a period of 70–85 days. In some ways, this star is like an R CrB star, undergoing episodic dimming events, a slow recovery, and then showing semiregular pulsation when it is optically bright. Photometrically, it is certainly as red in $J - K$ and [6.4] – [9.3] as the two R CrB stars in the SMC observed with the IRS (Kraemer et al. 2005), MSX SMC 014 and 155. They have [6.4] – [9.3] = 0.92 and 0.77, respectively, compared to 0.96 for MSX SMC 060. The similarities in the light curve and photometry are interesting, even though the infrared spectrum of MSX SMC 060 differs significantly from the R CrB stars, which show relatively featureless spectra, while MSX SMC 060 has clear acetylene bands, strong MgS dust emission, and moderate (or possibly self-absorbed) SiC dust emission.

Two sources in Figure 6 do not follow the general relation between [6.4] – [9.3] and $J - K$, colors, showing bluer colors at [6.4] – [9.3] than expected from the $J - K$ colors in the 2MASS epoch. They are MSX SMC 066 and 200, and they have $J - K$ colors in the 2MASS epoch of 3.58 and 3.66, respectively. In the SSO photometry, MSX SMC 066 has dropped to $J - K = 3.04$, among the bluest colors in our sample, while MSX SMC 200 has reddened to 4.49, among the reddest colors in our sample. The variability of MSX SMC 066 might explain why it stands out in Figure 6, but MSX SMC 200 has remained red at $J - K$, making its blue [6.4] – [9.3] color more difficult to explain.

The two sources illustrated in Figure 11, MSX SMC 093 and 232, are outliers in Figure 10 because their SiC features have shifted from $\sim 11.3$ to $\sim 11.0 \mu$m. As explained in § 4.2, this shift may arise from an increased size in the emitting grains, even though the SiC dust is more optically thin.

5.4. Acetylene and Amorphous Carbon

5.4.1. C/O Ratio

The $C_2H_2$ absorption bands are stronger in the SMC sample than in the Galactic sample, indicating that these molecules are more abundant in the atmospheres and outflows of carbon stars in the SMC. This result reinforces previous results based on 3–4 $\mu$m spectra of carbon stars in the Magellanic Clouds and the Sagittarius Dwarf Spherical galaxy (van Loon et al. 1999; Matsuura et al. 2002, 2005). These papers suggest that the increased abundance of $C_2H_2$ results from a higher C/O ratio in low-metallicity carbon stars, which arises for two reasons. First, as the metallicity drops, the dredge-ups will grow more efficient (Wood 1981), injecting more carbon into the atmosphere. Second, low-metallicity AGB stars have a lower oxygen abundance to begin with, increasing the C/O ratio for a given amount of dredged-up carbon (Lattanzio & Wood 2004).
The analysis in this paper uses the [6.4] – [9.3] color as a proxy for the relative contributions of emission from the amorphous carbon dust and the stellar photosphere. Figure 7 shows that at a given [6.4] – [9.3] color, carbon stars in the SMC have more acetylene absorption than their Galactic counterparts. Thus, if our assumptions are correct, the carbon stars in the SMC have more acetylene around them than carbon stars with the same amount of dust in the Galaxy.

5.4.2. Dust Formation Efficiency

Allamandola et al. (1989) suggested acetylene as a probable building block of aromatic hydrocarbons in circumstellar shells, and numerical models support this mechanism (Frenklach & Feigelson 1989; Cherchneff et al. 1992). Carbonaceous grains with a mixture of aliphatic and aromatic bonds can also be produced from acetylene, as shown by Kovačavić et al. (2003, 2005). The acetylene probably does not condense directly into solid material. A nucleation site provides a surface for the formation of carbonaceous solids in the outflows from carbon stars, and Frenklach et al. (1989) and Cadwell et al. (1994) have identified SiC as the most likely nucleation site.

Our observations of the SMC reveal less SiC and MgS in the dust than in the Galactic sample, raising another possible explanation for the excess acetylene seen in the SMC spectra besides the higher expected C/O ratios. The lower metallicity in the SMC leads to lower abundances of heavier elements such as Si, Mg, and S, and fewer related dust grains. With less SiC to form the nuclei for the condensation of carbon, the dust formation may be less efficient, which might in turn explain the deeper acetylene absorption for a given amount of carbon-rich dust in the SMC (Fig. 7).

While this scenario seems plausible, the five strong SiC sources in our sample do not follow the expected trend. Since the SiC emission in these sources is nearly as strong as the Galactic sources, one might expect more efficient dust formation to leave less acetylene than the sources with weaker SiC features. Table 6 compares the mean band strengths for the acetylene in the strong SiC sources to the same control sample of weak SiC sources in Table 5. The distributions of the molecular band strengths overlap significantly. If anything, the strong SiC emitters have stronger absorption bands. While the five strong SiC sources do not seem to support the scenario we propose, one must keep in mind that we have been unable to determine why these sources have so much SiC in their dust shells. They may represent an unusual population and thus make a poor test sample. The trends when comparing the overall Galactic and SMC samples are still clear.

We have been careful to base our comparisons on sources with similar amounts of dust, which is quite different from a comparison of the amount of dust produced by similar sources in the SMC and the Galaxy. A definitive answer will require radiative transfer modeling to properly measure the amount of amorphous carbon dust and a careful assessment of the luminosities and pulsational properties of the stars. We leave that work to the future, but we note in the meantime that the IRS spectra and the derived [6.4] – [9.3] colors clearly indicate that carbon stars in the SMC are capable of producing significant quantities of dust.

6. CONCLUSIONS

Analyzing the strength of identifiable spectral features as a function of [6.4] – [9.3] color allows us to separate the sample on the basis of the optical depth through the dust shell, which is effectively a measure of the amount of amorphous carbon around the star. Thus, we can compare the carbon stars in the SMC sample to sources with a similar amount of circumstellar dust in the Galactic sample.

Carbon stars in the SMC have lower abundances of SiC and MgS dust compared to the amorphous carbon dust than their Galactic counterparts. This result demonstrates that the nature of the dust produced by stars on the AGB can vary measurably with their initial metallicity.

Our observations of molecular band strengths confirm the shorter wavelength results of van Loon et al. (1999) and Matsuura et al. (2002, 2005), who showed that stars with lower metallicities actually produce deeper acetylene absorption bands. They attributed this unexpected result to significantly higher C/O ratios in these stars. We have made our comparison on the basis of the [6.4] – [9.3] color, allowing us to conclude that stars surrounded by similar amounts of dust have more acetylene around them in the SMC than in the Galaxy, and have proposed an alternative scenario. The lack of SiC dust in the shells may deprive the amorphous carbon of seeds, lead to less efficient dust formation, and result in a higher ratio of acetylene to amorphous carbon.

Finally, we note that the combination of our results and previous work suggests that more carbon-rich dust should be injected into the ISM in a more metal-poor galaxy. First, a higher fraction of the stars evolve into carbon stars. Second, individual carbon stars in these metal-poor systems produce quantities of dust comparable to their Galactic counterparts, as our spectroscopy has shown. Finally, oxygen-rich stars should not produce as much dust, since the limiting factors will be the abundances of heavier elements like Mg, Al, Si, and Fe, all of which will be reduced in low-metallicity environments. Since amorphous carbon is the likely building block for PAH molecules, this result has important implications for the study of the emission features produced by these molecules in distant and/or metal-poor galaxies.

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